

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s):	Grant Edward Carlson et al.	Examiner:	
Serial No.:		Group Art Unit:	
Filed:	April 2, 2004	Docket No.:	STL11717
Title:	Shelf With Removable Backplane		

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	
--	--

INFORMATION DISCLOSURE STATEMENT

Section 1. Forms PTO/SB/08A and 08B (substitute for Form PTO-1449)

Form PTO/SB/08A is attached hereto.

Section 2. Remarks or Additional Statements

- ☒ This Information Disclosure Statement is being filed along with a new patent application.
- ☐ This Information Disclosure Statement is being filed *within* three months of the filing date of the application or date of entry into the national stage of an international application or *before* the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. 1.97(b).
- ☐ 37 C.F.R. §1.97(c) This Information Disclosure Statement is being filed *after* three months of the filing date of this national application or the date of entry of the national Stage as set forth in Section 1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but *before* the mailing date of either:
1. a final action under section 1.113; or
 2. a notice of allowance under section 1.311
whichever occurs first.
- ☐ A fee under 37 C.F.R. §1.17(p) is required because no certification under 37 C.F.R. §1.97(e) has been made; or
- ☐ No fee is required because certification statement under 37 C.F.R. §1.97(e) is noted below.
- ☐ 37 C.F.R. §1.97(d) This Information Disclosure Statement is being filed *after* a final action under §1.113, or a notice of allowance under §1.113, whichever occurs first, but before, or simultaneously with, the payment of the issue fee.
- ☐ A fee under 37 C.F.R. §1.17(p) is required and either of the statements below (37 C.F.R. §1.97(e)).

- ☐ 37 C.F.R. §1.97(e) Choose either Statement:
- ☐ Each item of information contained in the IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing; or
- ☐ No item of information contained in the IDS was cited in a communication from a foreign patent office in the counterpart foreign application, and to my knowledge no item of information contained in the IDS was known to any individual designated in §1.56(c) more than three (3) months prior to the filing of this IDS.

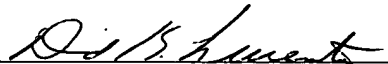
Consideration of the listed items is respectfully requested.

Respectfully submitted,

SEAGATE TECHNOLOGY LLC
(Assignee of Entire Interest)

Date

4/2/01


David K. Lucente, Reg. No. 36,202
SEAGATE TECHNOLOGY LLC
Intellectual Property Dept. - COL2LGL
389 Disc Drive
Longmont, CO 80503
(720) 684-2295 (telephone)
(720) 684-2588 (facsimile)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

of

2

Complete if Known

Application Number

Filing Date

04/02/2004

First Named Inventor

Grant Edward Carlson

Art Unit

Examiner Name

Attorney Docket Number

STL11717

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA	US- 3,725,883	04/03/1973	Bennett et al.	
	AB	US- 4,454,566	06/12/1984	Coyne	
	AC	US- 4,754,397	06/28/1988	Varaiya et al.	
	AD	US- 4,903,170	02/20/1990	Finney et al.	
	AE	US- 5,124,886	06/23/1992	Golobay	
	AF	US- 5,247,427	09/21/1993	Driscoll et al.	
	AG	US- 5,325,270	06/28/1994	Wenger et al.	
	AH	US- 5,517,373	05/14/1996	Hanson	
	AI	US- 5,604,662	02/18/1997	Anderson et al.	
	AJ	US- 5,729,763	03/17/1998	Leshem	
	AK	US- 5,752,257	05/12/1998	Ripoll et al.	
	AL	US- 5,822,184	10/13/1998	Rabinovitz	
	AM	US- 5,828,547	10/27/1998	Francovich et al.	
	AN	US- 5,889,650	03/30/1999	Bertilsson et al.	
	AO	US- 5,913,926	06/22/1999	Anderson et al.	
	AP	US- 5,974,490	10/26/1999	Fujimura et al.	
	AQ	US- 6,076,142	06/13/2000	Corrington et al.	
	AR	US- 6,201,692 B1	03/13/2001	Gamble et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	AA	EP 1026688 A3	08/09/2000	Siemens Information		
	AB	WO 97/45784	12/04/1997	CMD Technology		
	AC	WO 99/60832	11/25/1999	IBM		

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 2 of 2

Complete if Known

Application Number	
Filing Date	04/02/2004
First Named Inventor	Grant Edward Carlson
Art Unit	
Examiner Name	
Attorney Docket Number	STL11717

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
	AS	US- 6,230,217	B1	05/08/2001	Tuccio et al.	.
	AT	US- 6,243,790	B1	06/05/2001	Yorimitsu	
	AU	US- 6,351,374	B1	02/26/2002	Sherry	
	AV	US- 6,397,293	B2	05/28/2002	Shrader et al.	
	AW	US- 6,442,022	B1	08/27/2002	Paul	
	AX	US- 6,464,509	B1	10/15/2002	Emberty et al.	
	AY	US- 6,496,376	B1	12/17/2002	Plunkett et al.	
	AZ	US- 6,574,687	B1	06/03/2003	Teachout et al.	
	BA	US- 6,651,138	B2	11/18/2003	Lai et al.	
	BB	US- 6,683,793	B1	01/27/2004	Campbell et al.	
	BC	US- 2002/0131257	A1	09/19/2002	Agard	
	BD	US- 2002/0144044	A1	10/03/2002	Moon et al	
	BE	US- 2003/0041201	A1	02/27/2003	Rauscher	
	BF	US- 2003/0070043	A1	04/10/2003	Merkey	
	BG	US- 2004/0057203	A1	03/25/2004	Rabinovitz	
		US-				
		US-				
		US-				

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.